



3761

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Broome et al.

Examiner: Unknown

Serial No.: 10/044,368

Group Art Unit: 3761

Filed: January 10, 2002

Docket No.: 1001.1388101

For: DISTAL PROTECTION FILTER

**TRANSMITTAL SHEET**

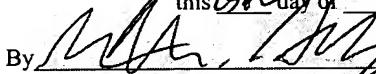
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on

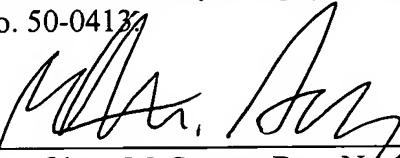
this 25th day of June, 2002.

By 

Glenn M. Seager

We are transmitting herewith the attached:

- Information Disclosure Statement.
- Form 1449 with Two Hundred and Fifty-Six (256) references.
- Postcard receipt.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-04127

By: 

Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
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Facsimile: (612) 359-9349



P A T E N T

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Serial No.: 10/044,368

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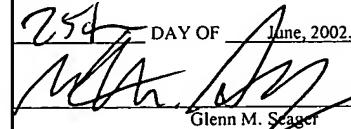
Filed: January 10, 2002

Docket No.: 1001.1388101

For: DISTAL PROTECTION FILTER

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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254 DAY OF June, 2002.  
  
Glenn M. Seager

Dear Sirs:

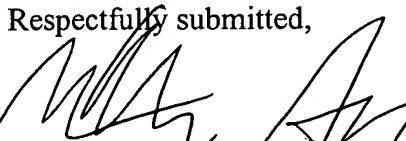
INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56,  
the documents listed on the attached PTO-1449 are hereby disclosed.

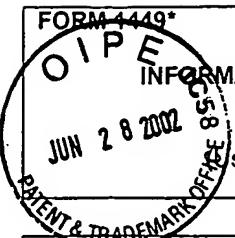
No representation is intended to be made hereby that any of the cited references  
establishes, by itself or in combination with other information, a prima facie case of  
unpatentability of any claim of the present case.

Dated: June 25, 2002

Respectfully submitted,

  
Glenn M. Seager, Reg. No. 36,926  
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 <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>	Docket Number: 1001.1388101	Application Number: 10/044,368
	Applicant: Broome et al.	
	Filing Date: January 10, 2002	Group Art Unit: 3761

<b>U.S. Patent Documents</b>					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,344,049	02/05/02	Levinson et al.		
	6,319,268	11/20/01	Ambrisco et al.		
	6,309,399	10/30/01	Barbut et al.		
	6,290,710	09/18/01	Cryer et al.		
	6,287,321	09/11/01	Jang		
	6,280,413	08/28/01	Clark et al.		
	6,277,139	08/21/01	Levinson et al.		
	6,277,138	08/21/01	Levinson et al.		
	6,270,513	08/07/00	Tsugita et al.		
	6,264,672	07/24/01	Fisher		
	6,264,663	07/24/01	Cano		
	6,258,115	07/10/01	Dubrul		
	6,245,089	06/12/01	Daniel et al.		
	6,245,088	06/12/01	Lowery		
	6,245,087	06/12/01	Addis		
	6,238,412	05/29/01	Dubrul et al.		
	6,235,045	05/22/01	Barbut et al.		
	6,235,044	05/22/01	Root et al.		
	6,231,544	05/15/01	Tsugita et al.		
	6,224,620	05/01/01	Maahs		
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	6,214,026	04/10/01	Lepak et al.		
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	6,179,861	01/30/01	Khosravi et al.		
	6,179,859	01/30/01	Bates et al.		
	6,179,851	01/30/01	Barbut et al.		

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Page 1 of 10

FORM 1449*		Docket Number: 1001.1388101	Application Number: 10/044,368
O I P E INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION <small>(Use several sheets if necessary)</small>		Applicant: Broome et al.	
		Filing Date: January 10, 2002	Group Art Unit: 3761

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C58

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Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,171,328	01/09/01	Addis		
	6,171,327	01/09/01	Daniel et al.		
	6,168,579	01/02/01	Tsugita		
	6,165,200	12/26/00	Tsugita et al.		
	6,152,946	11/28/00	Broome et al.		
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	6,136,016	10/24/00	Barbut et al.		
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	6,117,154	09/12/00	Barbut et al.		
	6,086,605	07/11/00	Barbut et al.		
	6,068,645	05/30/00	Tu		
	6,066,158	05/23/00	Engelson et al.		
	6,066,149	05/23/00	Samson et al.		
	6,059,814	05/09/00	Ladd		
	6,053,932	04/25/00	Daniel et al.		
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	6,042,598	03/28/00	Tsugita et al.		
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	5,993,469	11/30/99	McKenzie et al.		
	5,989,281	11/23/99	Barbut et al.		
	5,980,555	11/09/99	Barbut et al.		

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Page 2 of 10

O P E JUN 28 2002 P A T E N T & T R A D E M A R K S O F F I C E		Docket Number: 1001.1388101	Application Number: 10/044,368
		Applicant: Broome et al.	
		Filing Date: January 10, 2002	Group Art Unit: 3761

**U.S. Patent Documents**

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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	5,941,896	08/24/99	Kerr		
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	5,935,139	08/10/99	Bates		
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	5,925,016	07/20/99	Chornenky et al.		
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	5,895,399	04/20/99	Barbut et al.		
	5,876,367	03/02/99	Kaganov et al.		
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	5,827,324	10/27/98	Cassell et al.		
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	5,749,848	05/12/98	Jang et al.		
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Applicant: Broome et al.

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Group Art Unit: 3761



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Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	5,720,764	02/24/98	Naderlinger		
	5,695,519	12/09/97	Summers et al.		
	5,662,671	09/02/97	Barbut et al.		
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	5,549,626	08/27/96	Miller et al.		
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	5,449,372	09/12/95	Schmaltz et al.		
	5,423,742	06/13/95	Theron		
	5,421,832	06/06/95	Lefebvre		
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	5,354,310	10/11/94	Garnie et al.		
	5,330,484	07/19/94	Gunther		
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	5,224,953	07/06/93	Morgentaler		
	5,160,342	11/03/92	Reger et al.		
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	5,011,488	04/30/91	Ginsburg		
	5,002,560	03/26/91	Machold et al.		
	4,998,539	03/12/91	Delsanti		

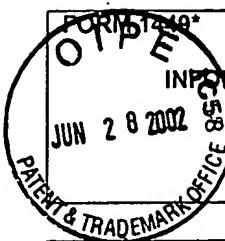
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 <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>	Docket Number: 1001.1388101	Application Number: 10/044,368
	Applicant: Broome et al.	
	Filing Date: January 10, 2002	Group Art Unit: 3761

<b>U.S. Patent Documents</b>					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	4,969,891	11/13/90	Gewertz		
	4,926,858	05/22/90	Gifford, III et al.		
	4,921,484	05/01/90	Hillstead		
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	4,873,978	10/17/89	Ginsburg		
	4,807,626	02/28/89	McGirr		
	4,794,928	01/03/89	Kletschka		
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	4,723,549	02/09/88	Wholey et al.		
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	4,619,246	10/28/86	Molgaard-Nielsen et al.		
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	B1 4,842,579	10/31/95	Shiber		

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	01/89413	11/29/01	WIPO
	01/87183	11/22/01	WIPO
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FORM 1449\*

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INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

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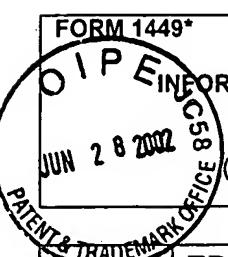
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Docket Number:  
1001.1388101Application Number:  
10/044,368

Applicant: Broome et al.

Filing Date: January 10, 2002

Group Art Unit: 3761



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	WO 01/60442	08/23/01	WIPO		
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Applicant: Broome et al.

Filing Date: January 10, 2002

Group Art Unit: 3761

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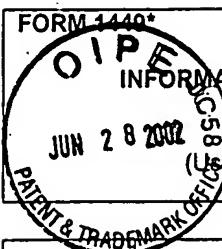
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FORM 1440\*  
INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION  
JUN 28 2002  
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Docket Number:  
1001.1388101

Application Number:  
10/044,368

Applicant: Broome et al.

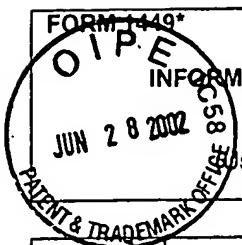
Filing Date: January 10, 2002

Group Art Unit: 3761

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	EP 0 437 121 B1	07/17/91	EP		
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(Use several sheets if necessary)

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1001.1388101Application Number:  
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EP 0 411 118 A1	02/06/91	EP		
DE 40 30 998 A1	10/1990	Germany		
FR 2 643 250 A1	08/24/90	FR		English Abstract
WO 88/09683	12/15/88	WIPO		
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FR 2 580 504	10/24/86	FR		English Abstract
EP 0 200 688	11/05/86	EP		
DE 34 17 738	11/14/85	DE		Translation
SU 764684	09/25/80	U.S.S.R.		Translation
UK 2 020 557 B	01/19/83	UK		
DE 28 21 048	07/17/80	DE		

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

REC'D  
JUL 2 2002  
MAIL ROOM

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FORM 1449\*

Docket Number:  
1001.1388101Application Number:  
10/044,368INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

Applicant: Broome et al.

Filing Date: January 10, 2002

Group Art Unit: 3761



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